

UNITED STATES BANKRUPTCY COURT  
SOUTHERN DISTRICT OF NEW YORK

-----X		
In re	:	Chapter 11 Case No.
	:	
LEHMAN BROTHERS HOLDINGS INC., <i>et al.</i> ,	:	08-13555 (JMP)
	:	(Jointly Administered)
Debtors.	:	
	:	
-----X		
		Ref. Docket No. 37641 & 37643

AFFIDAVIT OF SERVICE

STATE OF NEW YORK     )  
                                  ) ss.:  
COUNTY OF NEW YORK    )

LAUREN RODRIGUEZ, being duly sworn, deposes and says:

1. I am employed by Epiq Bankruptcy Solutions, LLC, located at 757 Third Avenue, New York, New York 10017. I am over the age of eighteen years and am not a party to the above-captioned action.
2. On June 5, 2013, I caused to be served the "Notice of Defective Transfer," a sample of which is attached hereto as Exhibit A, by causing true and correct copies to be: 1) personalized with the transferee, transferor and claim information for the above-referenced docket numbers, 2) enclosed securely in separate postage pre-paid envelopes and 3) delivered by first-class mail to the parties listed on the attached Exhibit B.
3. All envelopes utilized in the service of the foregoing contained the following legend:  
"LEGAL DOCUMENTS ENCLOSED. PLEASE DIRECT TO ATTENTION OF  
ADDRESSEE, PRESIDENT OR LEGAL DEPARTMENT."

/s/ Lauren Rodriguez  
Lauren Rodriguez

Sworn to before me this  
7<sup>th</sup> day of June, 2013

/s/ Cassandra Murray

Notary Public, State of New York

No. 01MU6220179

Qualified in Queens County

Commission Expires April 12, 2014

## EXHIBIT A

UNITED STATES BANKRUPTCY COURT  
Southern District of New York

In re

LEHMAN BROTHERS HOLDINGS INC., et al.,

Debtors.

Chapter 11 Case No.

08-13555 (JMP)

(Jointly Administered)

NOTICE OF DEFECTIVE TRANSFER

Transferor: UBS AG  
BAHNHOFSTR. 45  
ATTN: HUGO KOLLER  
ZURICH 8001 SWITZERLAND

Additional: UBS AG  
ATTN: HUGO KOLLER, OQ9C/O5GC  
P.O. BOX  
ZURICH 8098 SWITZERLAND

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Transferee: ADK SOHO FUND  
155 WOOSTER STREET  
NEW YORK NY 10012 SWITZERLAND

Your transfer of claim # 59233-75 is defective for the reason(s) checked below:

Other TRANSFEROR NOT CURRENT OWNER OF ISIN XS0192355302

Docket Number 37641

Date 05/29/13

/s/ Lauren Rodriguez

By: Epiq Bankruptcy Solutions, LLC  
as claims agent for the debtor(s).

FOR EBS USE ONLY: This notice was mailed to the transferor, transferee, and debtor(s) counsel by first class mail, postage prepaid on June 5, 2013.

**EXHIBIT B**

TIME: 12:50:32  
DATE: 06/05/13

LEHMAN BROTHERS HOLDING INC.  
CREDITOR LISTING

PAGE: 1

08-13555-mg

Doc 37824

Filed 06/07/13

Entered 06/07/13 16:35:38

Main Document

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Name	Address
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ADK SOHO FUND	155 WOOSTER STREET NEW YORK NY 10012 SWITZERLAND
HBK MASTER FUND, L.P.	TRANSFEROR: ILLIQUIDX LLP C/O HBK SERVICES LLC 2101 CEDAR SPRINGS ROAD, SUITE 700 DALLAS TX 75201
ILLIQUIDX LLP	ATTN: MR. CELESTINO AMORE 80 FLEET STREET LONDON EC4Y 1EL UNITED KINGDOM
UBS AG	BAHNHOFSTR. 45 ATTN: HUGO KOLLER ZURICH 8001 SWITZERLAND
UBS AG	ATTN: HUGO KOLLER, 009C/05GC P.O. BOX ZURICH 8098 SWITZERLAND

Total Number of Records Printed

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